

1 **SGLT2 inhibition for patients with ADPKD – closing the evidence gap**

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32 Running head: SGLT2 inhibition for patients with ADPKD

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**ABSTRACT**

Inhibitors of the sodium-glucose cotransporter 2 (SGLT2i) were originally developed to treat diabetes mellitus but have shown important renoprotective benefits independently from blood glucose levels. SGLT2i have thus become an important addition to the therapeutic armamentarium to treat patients with chronic kidney disease. However, specific patient populations were excluded from the pivotal trials, for instance patients with very low eGFR, patients on dialysis, kidney transplant recipients and patients with autosomal dominant polycystic kidney disease (ADPKD), the most common genetic kidney disorder. Considering the lack of potent treatment modalities in ADPKD, the use of SGLT2i in this patient population would be of major interest. However, the combination of inconclusive results from preclinical models with the lack of clinical efficacy data and potential disease-specific safety concerns currently exclude patients with ADPKD from this promising therapeutic opportunity. This results in an urgent need for adequately powered clinical trials examining SGLT2i in ADPKD. This review summarizes the current knowledge on SGLT2i in this specific patient population and outlines running and upcoming clinical trial programs in different geographic regions aiming to make SGLT2i accessible to patients with ADPKD.

**Keywords:** ADPKD, dapagliflozin, empagliflozin, polycystic kidney disease, SGLT2i

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1 SGLT2i – mechanism of action in chronic kidney disease

2● Inhibitors of the sodium-glucose cotransporter 2, SGLT2i, have transformed the treatment  
3 landscape for chronic kidney disease (CKD), primarily through mechanisms that transcend  
4 their glucose-lowering effects. By targeting SGLT2 in the proximal tubule, these agents  
5 reduce sodium and glucose reabsorption, increasing sodium delivery to the macula densa(1).  
6 This process reduces intraglomerular pressure via tubuloglomerular feedback and alleviates  
7 hyperfiltration, thereby protecting the glomerulus from excessive stress contributing to  
8 reduce albuminuria(2). By promoting glycosuria, osmotic diuresis and enhancing sodium  
9 excretion, SGLT2i also improve glycemic control, reduce body weight and extracellular fluid  
10 volume and afford a slight decrease in blood pressure(3). These effects are observed across  
11 diabetic and non-diabetic kidney disease. Beyond their renal and systemic hemodynamic  
12 impact, SGLT2i have other effects on several cellular and metabolic pathways involved in  
13 CKD progression(4). The combined impact of these effects may also explain why SGLT2i  
14 improves not only glomerular but also tubular health as exemplified by the reduction of  
15 acute kidney injury (AKI) supported by data from several randomized clinical trials (RCT)(5).  
16 By decreasing proximal tubular workload, they lower oxygen demand, mitigating effects of  
17 hypoxia in the renal cortex, a critical driver of tubular injury and interstitial fibrosis(6). In  
18 parallel, these agents enhance mitochondrial efficiency and reduce oxidative stress,  
19 mechanisms that contribute to their protective effects on renal cells. The induction of mild  
20 ketosis by SGLT2i provides an alternative energy source, offering additional metabolic  
21 advantages by reducing reliance on glucose metabolism and limiting the generation of  
22 reactive oxygen species(7). SGLT2i also reduce pro-inflammatory cytokines, such as  
23 interleukin-6 and tumor necrosis factor-alpha, and downregulate pathways involved in  
24 fibrosis, particularly those mediated by transforming growth factor-beta(8–11). These  
25 multifaceted effects are currently believed to explain the kidney protection afforded by  
26 SGLT2i across a broad spectrum of CKD stages and etiologies.

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28 SGLT2i as a new treatment opportunity in diabetic and non-diabetic kidney disease

29 Regarding the effect of SGLT2i in CKD in general, three large RCTs with a primary endpoint  
30 focussing on kidney function have been completed to date(12–14). The CREDENCE trial  
31 included only diabetic CKD patients with an estimated glomerular filtration rate (eGFR)  
32 between 30-90 ml/min/1.73m<sup>2</sup> and an albumin-creatinine ratio (ACR) of 300-5000 mg/g  
33 (n=4401, median follow-up 2.62 years) and showed a hazard ratio of 0.70 (95% confidence  
34 interval [CI], 0.59 to 0.82) for the primary composite endpoint of end-stage kidney disease  
35 (ESKD), a doubling of the serum creatinine level, or death from renal or cardiovascular  
36 causes . The DAPA-CKD trial examined the effect of dapagliflozin in 4304 (diabetic and non-  
37 diabetic) CKD patients with an eGFR of 25-75 mL/min/1.73m<sup>2</sup> and an ACR of 200-5000 mg/g  
38 over a median follow-up of 2.4 years (yrs) and found a hazard ratio of 0.61 (95% CI, 0.51 to  
39 0.72) for a similar primary endpoint . A metaanalysis of large placebo-controlled trials  
40 including CREDENCE and DAPA-CKD found that SGLT2i resulted in 11 and 15 fewer events of  
41 kidney disease progression in diabetic and non-diabetic CKD patients, respectively(5). More

1 recently, the EMPA-KIDNEY trial designed to assess the effects of treatment with  
2 empagliflozin in CKD patients with an eGFR of 20-45 mL/min/1.73m<sup>2</sup> or 45-75  
3 mL/min/1.73m<sup>2</sup> in combination with an ACR >200 mg/g was published(14). This study, again,  
4 found a highly significant benefit of empagliflozin regarding the primary endpoint, a  
5 composite of progression of kidney disease or death from cardiovascular causes (hazard  
6 ratio 0.72, 95% CI, 0.64 to 0.82). The annual decline in eGFR from 2 months to the time of  
7 the final follow-up visit was -2.75 ml/min/1.73m<sup>2</sup> in the placebo group and -1.73  
8 ml/min/1.73m<sup>2</sup> in the empagliflozin group with a between-group difference of 1.37  
9 ml/min/1.73m<sup>2</sup> (95% CI, 1.16 to 1.59) per year . Of note, CREDENCE, DAPA-CKD, and  
10 EMPA-KIDNEY all excluded patients with ADPKD. Besides, both DAPA-CKD and EMPA-KIDNEY  
11 primarily examined SGLT2i with reduced kidney function. Mean age was 61.8±12.1 years  
12 in DAPA-CKD and 63.9±13.9 years in EMPA-KIDNEY, mean eGFR was 43.2±12.3  
13 ml/min/1.73m<sup>2</sup> and 37.4±14.5 ml/min/1.73m<sup>2</sup>, respectively. In summary, most patients in  
14 the CKD trials studying SGLT2i had a relatively low eGFR. For a genetically determined  
15 disease like ADPKD, however, starting treatment as early as possible in life, i.e. in a phase  
16 with yet (near) normal eGFR, is a crucial aim further limiting the overlap of the target ADPKD  
17 patient group with currently available trial data. Nonetheless, it is important to note that the  
18 populations in the heart failure trials included patients at a higher eGFR and provide  
19 evidence towards benefits on CKD progression and AKI(5). Besides, the high levels of  
20 albuminuria typical for participants in the landmark SGLT2i trials are not typical for ADPKD.  
21 Importantly, a post-hoc analysis of EMPA-KIDNEY revealed maintained nephroprotection at  
22 low ACR regarding eGFR slope and no association with baseline eGFR was observed(15).  
23 Nonetheless, based on the currently available evidence the KDIGO CKD guideline  
24 recommends the use of SGLT2i in individuals with T2DM and CKD irrespective of UACR level,  
25 while for non-diabetic patients with CKD for an eGFR ≥ 20 ml/min/1.73m<sup>2</sup> exclusively in the  
26 presence of either an ACR >200 mg/g or heart failure (1A evidence level). In adults with an  
27 ACR < 200 mg/g and an eGFR of ≥ 20-45 ml/min/1.73m<sup>2</sup>, the guideline only suggests (2B  
28 evidence level) using these drugs. ADPKD is typically a disease associated with low  
29 albuminuria and many affected subjects have an eGFR > 45 ml/min/1.73m<sup>2</sup> when SGLT2i  
30 should be considered as a treatment option(16). So, even if patients with ADPKD had not  
31 been excluded from the pivotal trials, in fact most of them would currently not qualify for  
32 treatment. Taken together, despite the highly beneficial effects of SGLT2i regarding non-  
33 ADPKD CKD(17) and its excellent safety profile, data to implement the use of this concept in  
34 patients with ADPKD are lacking and urgently required.

### 35 Safety profile of SGLT2i

36 The incidences of (serious) adverse events (SAEs) were similar overall in the SGLT2i and  
37 placebo groups in both DAPA-CKD and EMPA-KIDNEY. Rates of diabetic ketoacidosis were  
38 low but higher than in the placebo group for canagliflozin in CREDENCE while no such signal  
39 was detected in the two more recent trials in non-diabetic CKD. Meta-analyses of the large  
40 RCTs looking at side effects in an integrated manner further underline the excellent risk-  
41 benefit profile of this class of drugs(5,18). Importantly, despite increased glycosuria, the

1 overall risk of urinary tract infections (UTI) is only marginally increased in the CKD  
2 population, similar as in the overall population (relative risk 1.09 versus 1.08, respectively).  
3 Only the incidence of genital fungal infections is elevated in a clinically relevant manner, but  
4 again similar in the CKD and the overall population (relative risk 2.98 versus 3.57,  
5 respectively). This is of special importance since, currently, many physicians still appear to  
6 place undue emphasis on the risk of UTIs when counselling patients about SGLT2i.  
7 Besides, the risk of genital infections can well be managed by pointing towards the  
8 importance of genital hygiene and early treatment once symptoms occur. Despite the  
9 broad evidence on safety of SGLT2i, there are theoretical mechanisms that could create  
10 specific risks in ADPKD patients, such as cyst infections or accelerated cystogenesis  
11 These mechanisms warrant further investigations in future trials and will be discussed  
12 below.

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#### 14 SGLT2i in ADPKD – disease-specific considerations and risks?

15 Considering the effects of SGLT2i on metabolism and renal physiology, use of these  
16 therapeutics in ADPKD appears to be promising on several levels(19) (Figure 1). Importantly,  
17 high intraglomerular pressure has been suggested to play a role in ADPKD(20,21), and is  
18 effectively targeted by SGLT2i. Indeed, already at a young adult age, ADPKD patients exhibit  
19 marked renal abnormalities, including a decreased effective renal plasma flow, increased  
20 filtration fraction, and slightly increased urinary albumin excretion, despite only modestly  
21 enlarged total kidney volume (TKV) and near-normal or preserved GFR(21). SGLT2i  
22 ameliorates albuminuria, which is known to be associated with disease progression in  
23 ADPKD(22,23). Interestingly, the only available targeted treatment of ADPKD, the V2-  
24 receptor antagonist tolvaptan, also lowers albuminuria(24). Besides, the induction of mild  
25 ketosis by SGLT2i is of interest in ADPKD. Ketogenic diets have been implicated as a potential  
26 treatment for ADPKD(25,26) and elevated levels of  $\beta$ -hydroxybutyrate (BHB, a key ketone  
27 body) at baseline have been shown to correlate with milder future eGFR decline(27). Along  
28 these lines, obesity appears to be a key driver of disease progression in ADPKD(28,29) and  
29 SGLT2-inhibition contribute to lowering body weight(30). A potential reduction of kidney  
30 stone formation through SGLT2(31,32) would be important in ADPKD considering the impact  
31 on disease progression(33,34). However, despite these promises of SGLT2-inhibition with  
32 potential disease-specific beneficial effects in ADPKD, safety risks cannot be excluded until  
33 actual evidence is generated (Figure 1). Notably, SGLT2 inhibition has been observed to  
34 increase vasopressin levels—a key hormone driving disease progression in ADPKD and the  
35 primary target of tolvaptan, the only targeted therapeutic approach proven effective for this  
36 condition(35,36). The effect of SGLT2i on vasopressin was first shown in rodents and then  
37 recapitulated in young adults with type 1 diabetes mellitus(37,38). Furthermore, two  
38 mechanistic studies in CKD patients without diabetes, DAPASALT and DIAMOND, further  
39 confirmed this result(39,40). Besides, glucosuria may increase the abundance of the pro-  
40 renin receptor at the plasma membrane of collecting ducts stimulating fibrosis(41) and  
41 empagliflozin has been implicated to elevate kidney weight by increasing the size of tubular  
42 epithelial and collecting duct-lining cells(42). Whether the modest treatment-induced

1 increase in vasopressin and glucosuria indeed could drive disease progression in ADPKD is  
2 not clear. However, this finding clearly underlines the need for evidence from randomized  
3 controlled trials specifically examining SGLT2i in patients with ADPKD including safety data  
4 on TKV.

#### 5 6 Preclinical data on SGLT2i in ADPKD

7 There are 4 studies on SGLT2i in animal models of polycystic kidney disease (PKD). Phlorizin,  
8 a dual SGLT1/2 inhibitor, and dapagliflozin, a selective SGLT2i, were analyzed in two separate  
9 studies performed in Cy/+ Han:SPRD rats, a preclinical model of ADPKD(43,44). Both  
10 treatments induced sustained glycosuria and osmotic diuresis. Phlorizin led to significant  
11 reductions in renal cyst growth, improved renal function, and decreased urinary albumin  
12 excretion. *In vitro*, on tubular epithelial cells isolated from Cy/+ Han:SPRD rats phlorizin  
13 inhibited MAP kinase pathway activation. Dapagliflozin improved renal function and reduced  
14 albuminuria, but did not lead to a reduction in cyst growth or tubular epithelial cell  
15 proliferation, suggesting a more limited effect in this model compared to that reported with  
16 phlorizin. In PCK rats, after 3 weeks treatment with dapagliflozin, creatinine and blood urea  
17 nitrogen clearances were increased, but the rats treated developed a 4-fold increase in  
18 albuminuria. After 6 weeks the effect on renal function was no longer significant, while  
19 kidney cyst volume and kidney weight were increased in the group treated with  
20 dapagliflozin(45).

21 Canagliflozin, another SGLT2i, was tested in the conditional *iKsp-Pkd1<sup>del</sup>* mouse model of  
22 ADPKD. The study found that canagliflozin did not slow cyst growth or improve renal  
23 function in this model and showed higher kidney weights in mice treated with a  
24 metformin/canagliflozin combination than in the untreated controls(46) . In addition, the  
25 role of glucose transport in cystogenesis was studied in a human organoid-on-chip model of  
26 ADPKD. The findings showed that glucose absorption drives cyst growth, with phlorizin  
27 and dapagliflozin reducing cyst expansion in this model(47). It is important to note, that  
28 the differences in the models used may explain some of the conflicting findings. As an  
29 example, cysts in the Cy/+ Han:SPRD rats, in contrast to the PCK rat and the *iKsp-Pkd1del*  
30 mouse model, derive from proximal tubules limiting the relation to human ADPKD, were the  
31 cysts originate primarily from the distal nephron. Similarly, the human organoid model does  
32 not contain collecting duct elements. Furthermore, the fact that the apical membrane faces  
33 outwards in the cysts of this model limits the translatability of the findings. Taken together,  
34 the preclinical data available to date remains inconclusive with 1 out of 4 studies suggesting  
35 a possible harmful effect in ADPKD, 1 being inconclusive, 1 indicating a possible beneficial  
36 effect and 1 study reporting opposite results towards kidney function and TKV change (see  
37 Table 1).

#### 38 39 Is there clinical evidence regarding SGLT2i in ADPKD?

40 Currently, there is no evidence from clinical trials on the use of SGLT2i in ADPKD due to  
41 previous diabetic and non-diabetic CKD trials listing ADPKD as an exclusion criterion. The  
42 general notion in available expert statements and reviews on the topic is that the data from

1 preclinical models is inconclusive and that, due to the lack of clinical trial safety data, SGLT2i  
2 should currently not be used in ADPKD(19) (see Suppl. Table 1). Current evidence available in  
3 Medline is limited to observational data, comprising two case series and two case reports,  
4 collectively providing retrospective data on 29 patients with ADPKD treated with SGLT2  
5 inhibitors(48–51). The largest series analyzed data from 20 patients during a period of  $102 \pm$   
6 20 days and indicates a potential TKV increase associated with SGLT2i(51). The retrospective  
7 study design and the use of the ellipsoid equation to estimate TKV to estimate TKV highlight  
8 methodological limitations, suggesting that the results should be interpreted with caution. In  
9 another case series (n=7, median observation time 20 months), while a significant TKV  
10 increase was also noted, eGFR slopes improved, leading the authors to speculate on a  
11 potential beneficial effect of combined therapy with RAS- and SGLT2-inhibitors(49). The lack  
12 of evidence is underlined by the recently published KDIGO guideline on the diagnosis and  
13 treatment of ADPKD, which state that “use of SGLT2i in ADPKD is not presently  
14 recommended, because people with ADPKD have been excluded from the clinical trials; thus,  
15 its safety has not been evaluated” and that “Management of diabetes in people with  
16 ADPKD should be the same as for people with other forms of CKD, with the possible  
17 exception that sodium glucose cotransporter-2 inhibitors (SGLT2i) are not recommended at  
18 this time for people with ADPKD”(52). Consequently, in the opinion of the KDIGO working  
19 group, at the moment, the only potential justification for using SGLT2i in people with ADPKD  
20 is the presence of heart failure. In accordance, despite the broad CKD indication in both the  
21 EMA and FDA approvals for SGLT2-inhibitors, the FDA labelling information of both  
22 dapagliflozin and empagliflozin declares that these treatments are not recommended in  
23 patients with polycystic kidney disease.

#### 24 Ongoing studies on SGLT2i in ADPKD

25 In principle, subgroup analyses of patients with ADPKD in CKD trials could contribute to our  
26 knowledge in this specific indication. However, as described above, the pivotal trials  
27 excluded this patient group and running studies allowing this subgroup are limited. There are  
28 worldwide several observational studies investigating SGLT2i in various disease states, such  
29 as in symptomatic heart failure and with CKD stage 3b-4. While these studies do not exclude  
30 patients with ADPKD, they are unlikely to result in a relevant number of these patients.  
31 Consequently, trials specifically designed for patients with ADPKD will be crucial to answer  
32 this question. In this regard, four randomized clinical trials with a phase-2 design are  
33 registered in the WHO International Clinical Trials Registry Platform (Table 2). One of these  
34 studies will start in Switzerland, but primarily examines the impact of SGLT2i on electrolyte  
35 handling in ADPKD using a 2-week intervention (NCT06435858). Besides, a US-based pilot  
36 trial is currently enrolling 50 non-diabetic ADPKD patients with an eGFR of 30-90  
37 mL/min/1.73m<sup>2</sup> at risk of disease progression and not on tolvaptan at two sites  
38 (NCT05510115). Primary goal of this 12-month parallel-group, randomized, double-blind,  
39 placebo-controlled trial is to determine the safety and tolerability of the SGLT2i empagliflozin.  
40 Secondary, exploratory goals include preliminary estimates of the effect on TKV and kidney  
41 function decline as well as vascular stiffness as measured by aortic pulse wave velocity  
42

1 (aPWV). The single-center EMPA-PKD trial initiated in Germany has a similar recruitment  
2 aim and treatment duration (44 patients, 18 months) and examines the change in TKV as  
3 primary outcome and change in eGFR, copeptin levels, albuminuria and blood pressure as  
4 secondary outcomes (NCT06391450). Randomization to 10 mg Empagliflozin or placebo is  
5 stratified according to absence or presence of concomitant tolvaptan intake(53). In addition,  
6 a Japanese multicenter trial uses a randomized crossover design in 30 patients to study the  
7 effect of 10 mg dapagliflozin on eGFR slope in a 6-month intervention (JPRN-  
8 UMIN000046275) including only patients on tolvaptan. Secondary endpoints include change  
9 in TKV, plasma vasopressin level and 24-hour urine volume. Besides, the Renal Lifecycle Trial  
10 (NCT05374291), an RCT assessing the effect of dapagliflozin on renal and cardiovascular  
11 outcomes in patients with severe CKD, does not exclude patients with ADPKD and may thus  
12 allow for a dedicated post-hoc subgroup analysis in this regard.

13 All of these studies will add very important information on safety of SGLT2i in ADPKD, but,  
14 due to their relatively small-scale and their short-term nature, are not expected to answer  
15 the question of efficacy with respect to long-term preservation of kidney function. The latter  
16 will require data from adequately powered trials with sufficient follow-up.

17

18 Upcoming trials with a phase 3 design - the final step towards using SGLT2i in ADPKD

19 Recently, two initiatives reported successful acquisition of funding for adequately powered  
20 trials to prove efficacy of SGLT2i in ADPKD . DAPA-PKD, a trial coordinated from Rouen and  
21 Brest (France) will recruit 400 participants in 32 study sites. Participants will be randomized  
22 to 10mg Dapagliflozin or placebo in a 1:1 ratio over a period of 24 months; the primary  
23 endpoint will be the evolution of MRI-measured TKV, while the secondary endpoints will  
24 include analyses of eGFR slope and cardiovascular endpoints. TKV was selected as the  
25 primary outcome because it is an established surrogate marker for disease progression in  
26 ADPKD, sensitive to structural changes over a relatively short follow-up period, and  
27 particularly relevant given the conflicting preclinical data suggesting that SGLT2i could  
28 potentially influence cyst growth.

29 STOP-PKD, an initiative coordinated from Cologne  
30 (Germany) in close interaction with the Dutch DIPAK-consortium will recruit 420 patients at  
31 24 sites in four European countries (Germany, Netherlands, Spain, Austria). As in DAPA-PKD,  
32 participants will be randomized to Dapagliflozin 10 mg or placebo in a 1:1 ratio, but for a  
33 longer period of 36 months. Importantly, the primary endpoint will be the chronic eGFR  
34 slope in STOP-PKD and secondary endpoints will cover additional outcomes relevant to renal  
35 disease progression including total eGFR slope, albuminuria and kidney stones. To account  
36 for the vasopressin induction by SGLT2i, an interim safety analysis focussing on TKV changes  
37 at one year will be conducted on the first 150 participants to rule out major signals towards  
38 TKV increase while also considering eGFR data obtained until this point. Besides, a futility  
39 analysis focussing on eGFR change at 2 years will be performed on the first 200 participants.  
40 DAPA-PKD and STOP-PKD are expected to start recruitment in late 2025 / early 2026. Both  
41 trials include patients from the age of 18 reflecting the urgent need for early treatment in a  
42 genetic disease like ADPKD. STOP-PKD has an upper age limit of 60 years considering that  
patients older than 60 years with maintained kidney function are unlikely to show rapid

1 disease progression and co-morbidities may be the key drivers of kidney function loss at this  
2 age. Examining true ADPKD-specific effects on kidney function decline of SGLT2i is central to  
3 this trial with eGFR slope as its primary endpoint. In contrast, DAPA-PKD recruits patients up  
4 to the age of 75, based on the different primary endpoint (TKV) and the key secondary  
5 endpoint (cardiovascular events). These two pivotal trials will be coordinated in close  
6 interaction including harmonization of inclusion criteria and outcome parameters to allow  
7 for an individual patient level pooled analysis after completion of the trials, the FLOZIN-PKD  
8 study, to increase power overall and for relevant subgroup analyses and to definitively settle  
9 the question on the value of SGLT2i in ADPKD. Neither DAPA-PKD nor STOP-PKD trials  
10 include participants treated with tolvaptan. This decision is driven by multiple  
11 considerations: higher urine osmolality could enhance the aquaretic effect, potentially  
12 leading to severe polyuria; patients on combined therapy may face a greater risk of  
13 discontinuation; interruptions in tolvaptan treatment during the study could complicate  
14 eGFR slope analysis; and the small size of the tolvaptan-treated subgroup would limit the  
15 potential for meaningful analyses. If SGLT2 inhibitors demonstrate benefit in ADPKD, the  
16 safety of co-administration with tolvaptan and potential added effects will need to be  
17 evaluated. Preliminary insights into short-term safety and feasibility of such association may  
18 emerge from the pilot studies outlined in Table 2.

19

#### 20 Current position and conclusion

21 The addition of SGLT2i to the currently available treatment strategies in diabetic and non-  
22 diabetic kidney disease was an important milestone. Several lines of arguments underline  
23 their potential benefit also for patients with ADPKD. However, considering the lack of data  
24 on efficacy in this specific patient population and disease-specific safety concerns prevent  
25 the use of these therapeutics in patients with ADPKD. Several ongoing initiatives now aim to  
26 close this evidence-gap including two adequately powered trials with a phase-3 design which  
27 will allow to come to a final conclusion on the risk benefit ratio for SGLT2i in ADPKD over the  
28 next years.

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31

1 **Table 1 Overview of Preclinical Evidence on SGLT2 Inhibitors in Polycystic Kidney Disease (PKD) Animal Models**

2

study	model (origin of cysts)	SGLT2i	kidney function	kidney weight	other findings
Wang et al. KI 2013	Han:SPRD rat (proximal tubules)	phlorizin	↑	↓	albuminuria ↓
Rodriguez et al. Kidney Blood Press Res 2015	Han:SPRD rat (proximal tubules)	dapagliflozin	↑	↑	albuminuria ↓
Kapoor et al. PLoSOne 2015	PCK rat (collecting ducts, distal tubules, loop of Henle)	dapagliflozin	?	↑	albuminuria ↑
Leonhard et al. eBioMedicine 2019	<i>Pkd1<sup>fl/fl</sup></i> inducible (collecting ducts, distal and proximal tubules)	canagliflozin	↔	-	-

3  
4 Kidney function was assessed by these studies using the following measures: Wang et al. - creatinine clearance; Rodriguez et al. - blood urea nitrogen clearance and  
5 creatinine clearance; Kapoor et al. - (blood urea nitrogen clearance + creatinine clearance)/2; Leonhard et al. - blood urea nitrogen.

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1 **Table 2- Overview of Clinical Trials Investigating SGLT2 Inhibitors in Autosomal Dominant Polycystic Kidney Disease**

Trial	Registry #	Design	N, duration	Key inclusion criteria	Primary outcome	Key secondary outcomes	Status
The effect of dapagliflozin in ADPKD patients using tolvaptan	JPRN-UMIN 000046275	Cross-over RCT, multicenter (Japan)	N = 30, 6 months	≥20y, only individuals already treated by Tolvaptan	Slope of eGFR decline	Change in TKV, BP, metabolic parameters, urine volume, UACR	Completed
Feasibility of Study of Empagliflozin in Patients with ADPKD	NCT05510115	RCT, parallel assignment, multicenter (USA)	N = 50, 12 months	18-55y; eGFR 30-90 ml/min/1.73m <sup>2</sup> ; MIC 1C-1D-1E, Tolvaptan users excluded	Safety (adverse events, tolerability, adherence)	HtTKV; Kidney function; Aortic stiffness; Plasma copeptin levels and urinary kidney injury molecule-1; ADPKD Impact Scale.	Recruitment completed
EMPA-PKD (empagliflozin 10 mg)	NCT06391450	RCT, parallel assignment single center (Germany)	N = 44, 18 months	≥18y, eGFR 25-90 ml/min/1.73m <sup>2</sup> , MIC 1C-1D-1E; Tolvaptan users eligible if taken ≥3 months	Change in total kidney volume (TKV) measured by MRI.	Change in eGFR, copeptin levels, albuminuria, and blood pressure.	Recruiting
SIDIA (empagliflozin 10 mg)	NCT06435858	Cross-over RCT, single center (Switzerland)	N = 40, 2 weeks	18-75y, eGFR>30ml/min/1.73m <sup>2</sup>	Calcium, phosphate, Magnesium measured by fractional excretions	24-hour urine volume, tubular handling of other electrolytes, kidney function	In Preparation
DAPA-PKD (dapagliflozin 10 mg)	NA	Phase 3 RCT, parallel assignment, multi-center (France)	N=400, 24 months	18-75y, eGFR 25-90 ml/min/1.73m <sup>2</sup> if age <60 or 25-45 ml/min/1.73m <sup>2</sup> if age >60, MIC 1C-1D-1E or mean kidney length > 16.5 cm, Tolvaptan users excluded	Change of TKV measured by MRI	Chronic slope of eGFR decline and alternative kidney function outcomes, composite cardiovascular outcome, health related QoL, kidney stones, urinary infections	In Preparation
STOP-PKD (dapagliflozin 10 mg)	NA	Phase 3 RCT, parallel assignment, multi-center (Germany, The Netherlands, Spain, Austria)	N = 420, 36 months	18-60y, eGFR ≥ 25 ml/min/1.73m <sup>2</sup> , MIC 1D-1E, or 1C with either a <i>PKD1</i> truncating variant, or eGFR loss >3 ml/min/1.73m <sup>2</sup> /y, or a PROPKD score >6; Tolvaptan users excluded	Annual (chronic) slope of eGFR decline	Alternative kidney function outcomes, TKV, albuminuria, kidney stones, urinary infections, patient-reported outcome measures (QoL, pain, ADPKD-Impact scale)	In Preparation

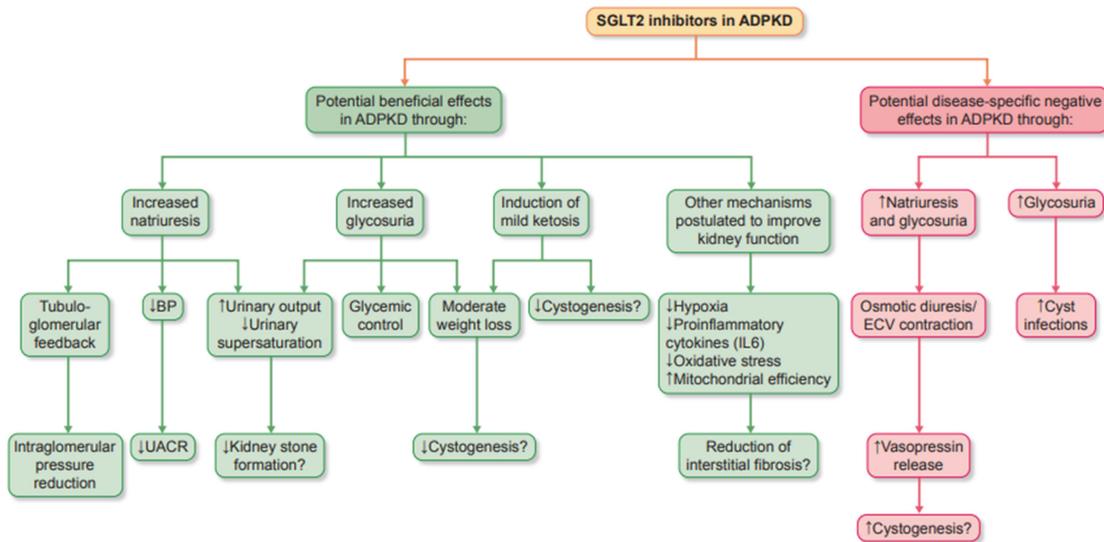
2 ADPKD: Autosomal Dominant Polycystic Kidney Disease; CKD-EPI: Chronic Kidney Disease Epidemiology Collaboration formula; eGFR: Estimated Glomerular Filtration Rate;

3 HtTKV: Height-adjusted Total Kidney Volume; MIC: Mayo Imaging Classification; MRI: Magnetic Resonance Imaging; QoL: Quality of Life; RCT: Randomized Controlled Trial;

4 TKV: Total Kidney Volume

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1 **Figure 1 – Potential disease-specific effects of SGLT2 inhibitors in ADPKD: Benefit or risk?**



2

3 Mechanistically, SGLT2 inhibition could be beneficial in ADPKD on several pathophysiological levels.  
 4 This includes the effect on natriuresis and its impact on both blood pressure and intraglomerular  
 5 pressure as well as the observed decrease in kidney stone risk, the impact on body weight and the  
 6 induction of mild ketosis. Besides, both improvements in energy homeostasis linked to increased  
 7 mitochondrial efficiency as well as decreased hypoxic and oxidative stress and reduction of  
 8 inflammatory tone may reduce interstitial fibrosis. Potential ADPKD-specific safety concerns primarily  
 9 stem from the observation of a mild increased vasopressin secretion in both humans and model  
 10 animals treated with SGLT2i. Since infectious events of the urinary tract and specifically cyst infection  
 11 may drive disease progression in ADPKD an increased risk of UTIs would be a specific concern.  
 12 However, while not studied in ADPKD to date, the trials in CKD have shown exclusively an increased  
 13 risk for genital infections and none of these trials found any increase in the incidence of UTIs upon  
 14 exposure to SGLT2i.

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